



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): **Kosuke UCHIYAMA**

Application No.: **10/574,089**

Filed: **January 16, 2007**

Title: **SCREW TYPE PROCESSING EQUIPMENT  
AND PRODUCT PREPARED BY USING IT**

Attorney Docket No.:  
**Uchiyama-1-PCT/Minori**

Group Art Unit:

Examiner:

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

under 37 CFR 1.97(c) together with either a:  
 Statement under 37 CFR 1.97(e), or  
 a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

under 37 CFR 1.97(d) together with a:  
 Statement under 37 CFR 1.97(e), and  
 a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on:

Date of Deposit: May 5, 2007

Typed Name: Lori G. Witkin

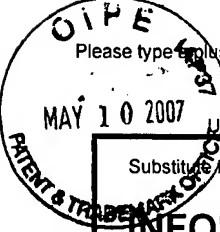
Signature: Lori G. Witkin

Respectfully submitted,

  
\_\_\_\_\_  
Alan Israel  
Attorney/Agent for Applicant(s)  
Reg. No. 27,564

Date: May 5, 2007

Telephone No.: 212-697-3750



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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1 Attorney Docket Number Uchiyama-1-PCT/Minori

U.S. PATENT DOCUMENTS

## **FOREIGN PATENT DOCUMENT**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Abstract
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
			03-078016		Japan	09/25/2003		Yes
			2003-266515		Japan	09/24/2003		Yes
			2002-028963		Japan	01/29/2002		Yes
			62-055066		Japan	03/10/1987		Yes
			2003-251625		Japan	09/09/2003		Yes
			04-029822		Japan	01/31/1992		Yes
			2000-095503		Japan	04/04/2000		Yes
			WO1992/016583		International			
			2002/028963		Japan	01/29/2002		Yes
			2001-081201		Japan	03/27/2001		Yes
			WO 03/014164		International	02/20/2003		Yes
			03-129341		Japan	06/03/1991		Yes
			WO2001/066331		International			
			2000-351117		Japan	12/19/2000		Yes
			11-107042		Japan	04/20/1999		Yes

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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